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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/084,380-Conf. #3496	
11	NFORMATION	l Di	SCLOSURE	Filing Date	February 28, 2002	
STATEMENT BY APPLICANT				First Named Inventor	Daniel G. Chain	
				Art Unit	1649	
	(Use as many sheets as necessary)			Examiner Name	G. S. Emch	
Sheet	1	of	2	Attorney Docket Number	20555/1203301-US3	

·	U.S. PATENT DOCUMENTS					
Examiner	Cite No.1	Document Number	Publication Date Name of Potenton or		Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*		No.1 Number-Kind Code2 (if known)		Figures Appear		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
	ВА	EP-2006303	12-24-2008	Intellect Neurosciences Inc.		
	ВВ	WO-03/074081	09-12-2003	Mindset BioPharmaceuticals USA, Inc.		
	<u> </u>					

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08b (04-09)

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Complete if Known Substitute for form 1449/PTO Application Number 10/084,380-Conf. #3496 INFORMATION DISCLOSURE February 28, 2002 Filing Date STATEMENT BY APPLICANT Daniel G. Chain First Named Inventor 1649 Art Unit (Use as many sheets as necessary) Examiner Name G. S. Emch 20555/1203301-US3 2 Attorney Docket Number Sheet 2 of

Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²	
C1	Notice of Opposition to European Patent No. 0994728, filed 30 April 2009 (15 pages)		
C2	Opponent's Translation of Petition submitted 16 September 2005 on behalf of Intellect Neurosciences during appeal proceedings of Japanese application 10-543043 (17 pages)		
		-	
		the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. C1 Notice of Opposition to European Patent No. 0994728, filed 30 April 2009 (15 pages) Opponent's Translation of Petition submitted 16 September 2005 on behalf of Intellect	

Examiner	/0 = 1 /	Date	05/20/2009
Signature	/Gregory Emch/	Considered	03/20/2003

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